



Plan Holder Information

Family Name \_\_\_\_\_ Given Name \_\_\_\_\_ Branch Stamp \_\_\_\_\_

Social Insurance Number \_\_\_\_\_ Telephone Number \_\_\_\_\_ **Applicable Pension Legislation**

Pension Source  Provincial \_\_\_\_\_ Jurisdiction \_\_\_\_\_  
And \_\_\_\_\_  
**Or** \_\_\_\_\_  
Company Name \_\_\_\_\_

Plan Type (Please identify your existing plan type)

Personal  Spousal  Locked-In  Federal \_\_\_\_\_  
If Locked-in Pension information must be completed. => \_\_\_\_\_  
Company Name \_\_\_\_\_

Complete For Spousal Plans Only

Contributor's Family Name \_\_\_\_\_ Contributor's Given Name \_\_\_\_\_ Contributor's Social Insurance Number \_\_\_\_\_  
And \_\_\_\_\_

**(A) Transfer from a TD Canada Trust RSP**

Transit # \_\_\_\_\_ Plan # \_\_\_\_\_ DISA RSP Account # \_\_\_\_\_

Full Plan Transfer Transfer All Cash & GICs As They Are **Or**  Partial Plan Transfer Specify what Part(s) of the Plan are to be transferred (Partial Transfer Only)  
 DISA RSP Account - \$ \_\_\_\_\_ Only  
 Term deposit # \_\_\_\_\_ - \_\_\_\_\_ In-Kind (As it is)  
Term deposit # \_\_\_\_\_ - \_\_\_\_\_ In-Kind (As it is)  
Term deposit # \_\_\_\_\_ - \_\_\_\_\_ In-Kind (As it is)

**Or**

**(B) Transfer from Other Internal Registered Plans**

(Select only one, using an "X")

Provide The Plan Number

<input type="checkbox"/> TD Direct Investing	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	_____
<input type="checkbox"/> TD Easy Trade	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	_____
<input type="checkbox"/> TD Wealth Private Investment Advice	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	_____
<input type="checkbox"/> TD Wealth Financial Planning	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	_____
<input type="checkbox"/> TD Future Builder		_____
<input type="checkbox"/> TD Investment Services Inc.	TD Mutual Funds RSP/LIRA/LRSP/RIF/LIF/LRIF/RLSP/RLIF	_____
<input type="checkbox"/> TD Canada Trust RIO	FLEXI RIF/LIF/LRIF/RLIF	_____
<input type="checkbox"/> TD Wealth Private Investment Counsel	RSP/RIF/RLSP/PRIF/RLIF/LIRA/LRSP/LRIF/LIF	_____
<input type="checkbox"/> Tax-Free Savings Account		_____
<input type="checkbox"/> Other		_____
<input type="checkbox"/> Multi-Holding Account - TD Investment Services Inc.		_____

**Transfer Instructions** (Select either "Full", or "Partial")

Full Plan Transfer  "In-Cash" (Sell all eligible investments and transfer the proceeds as Cash) **Or**  "In-Kind" (Transfer cash / eligible investments as they are)  
**Or**  
 Partial Plan Transfer  "In-Cash" \$ \_\_\_\_\_ And/Or  "In-Kind"  
(Sell investments listed below and transfer the proceeds as cash)  
\_\_\_\_\_ shares/units Security Name \_\_\_\_\_  
\_\_\_\_\_ shares/units Security Name \_\_\_\_\_  
\_\_\_\_\_ shares/units Security Name \_\_\_\_\_

**(C) Transfer to Other Internal Registered Plans**

(Must be completed for all Transfers)

(Select only one, using an "X")

**Provide The Plan Number**

<input type="checkbox"/> <b>TD Canada Trust RSP</b>	Transit # <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>	<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>
	DISA RSP Account # <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>	
<input type="checkbox"/> <b>TD Direct Investing</b>	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>
<input type="checkbox"/> <b>TD Easy Trade</b>	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>
<input type="checkbox"/> <b>TD Wealth Private Investment Advice</b>	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>
<input type="checkbox"/> <b>TD Wealth Financial Planning</b>	SDRSP/LIRA/LRSP/SDRIF/LIF/LRIF/RLSP/RLIF	<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>
<input type="checkbox"/> <b>TD Future Builder</b>		<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>
<input type="checkbox"/> <b>TD Investment Services Inc.</b>	TD Mutual Funds RSP/LIRA/LRSP/RIF/LIF/LRIF/RLSP/RLIF	<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>
<input type="checkbox"/> <b>TD Canada Trust RIO</b>	FLEXI RIF/LIF/LRIF/RLIF	<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>
<input type="checkbox"/> <b>TD Wealth Private Investment Counsel</b>	RSP/RIF/RLSP/PRIF/RLIF/LIRA/LRSP/LRIF/LIF	<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>
<input type="checkbox"/> <b>Tax-Free Savings Account</b>		<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>
<input type="checkbox"/> <b>Other</b>		<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>
<input type="checkbox"/> <b>Multi-Holding Account - TD Investment Services Inc.</b>		<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>

**(D) Important - Must Be Completed**

I acknowledge that market prices for assets may take a down turn prior to receipt of my instructions for sale.

Sale of securities may delay the completion of the transfer.

I acknowledge that, if a GIC or Term Deposit is transferred from a TD Canada Trust registered plan to a TD Waterhouse Canada Inc. registered plan, then any maturity instruction of renew principal and interest shall be changed to payout principal and interest. If transferring prospectus qualified mutual funds that pay trailing commissions to a TD Direct Investing account, refer to the "Embedded Commission Ban" section of TD Direct Investing Relationship Disclosure.

_____	_____	<input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>
Planholder's Signature	Branch Authorized Signature & Number	RIC Number
<input type="text" value=""/> <input type="text" value=""/> - <input type="text" value=""/> <input type="text" value=""/> - <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>	_____	<input type="text" value=""/> <input type="text" value=""/> - <input type="text" value=""/> <input type="text" value=""/> - <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/> <input type="text" value=""/>
MM DD YYYY	Branch Contact (Please Print Name)	Branch Contact Telephone Number

**For Head Office Use Only**

<input type="checkbox"/> Personal	<input type="checkbox"/> Locked-In RSP / LIRA	<input type="checkbox"/> RLSP
<input type="checkbox"/> Spousal	<input type="checkbox"/> Locked-In RIF / LIF / LRIF	<input type="checkbox"/> RLIF

_____	_____
Date Request Received	Date Request Completed

\$ \_\_\_\_\_

**For RIF/SDRIF Account Only**

<input type="checkbox"/> Qualifying	<input type="checkbox"/> Non-Qualifying
-------------------------------------	---

Annual Minimum Paid \$ \_\_\_\_\_

\_\_\_\_\_  
Authorized Signature

**This Form Is Not To Be Used For Buy Orders**

TD Easy Trade is a service of TD Direct Investing. TD Direct Investing, TD Wealth Financial Planning and TD Wealth Private Investment Advice are divisions of TD Waterhouse Canada Inc., a subsidiary of The Toronto-Dominion Bank. TD Waterhouse Canada Inc. - Member of the Canadian Investor Protection Fund. TD Wealth Private Investment Counsel represents the products and services offered by TD Waterhouse Private Investment Counsel Inc., a subsidiary of The Toronto-Dominion Bank.  
© The TD logo and other trade-marks are the property of The Toronto-Dominion Bank.

## How To Complete The Form

**Note: A different form must be used when transferring funds to more than one area or from more than one plan.**

---

### Section A - Transfer Instructions From a TD Canada Trust RSP

Complete this section **only** when transferring from a TD Canada Trust RSP. When Section A applies, Sections C and D **must** be completed.

Tick "Full Plan Transfer" if everything in the RSP plan is to be transferred (i.e. DISA RSP account and all associated GICs).

Tick "Partial Plan Transfer" if the customer does not wish to transfer the entire plan.

If "Partial Plan Transfer" is selected:

Tick "DISA RSP Account Full Balance" if the customer wishes to transfer the entire DISA RSP Account Balance.

Tick "DISA RSP Account - \$..... Only" if the customer wishes to transfer a specific amount from their DISA RSP account. Enter the requested transfer amount in the space provided.

Tick "GIC Deposit #.....-..... In-Kind (As it is)" when the customer wishes to transfer one or more of their GICs. Enter the GIC # and the deposit number in the space provided.

**Note: For GICs maturing within 3 business days ensure that the TRM maturity instructions are changed to "Deposit to DISA" prior to transferring the deposit. When the maturity option has been changed, tick "DISA RSP Account - \$..... Only" and enter the value of the matured GIC in the space provided.**

**Note: For GICs with maturity dates longer than 3 days, transfer the GIC "In-Kind" (As it is).**

---

### Section B - Transfer Instructions For All Transfers From Other Internal Registered Plans

Complete this section when transfers from TD Direct Investing, TD Wealth Private Investment Advice, TD Wealth Financial Planning, TD Wealth Private Investment Counsel, TD Investment Services Inc., TD Canada Trust RIO, or TD Future Builder are requested. When Section B applies, Sections C and D **must** be completed.

Tick the appropriate box to indicate the source of the transfer. Enter the plan #.

**And**

Tick **one** box to indicate if the transfer is a:

Full Plan Transfer - customer has requested that **all** assets are to be transferred. Indicate whether the transfer is to be "In-Cash"/"In-Kind".

**Or**

Partial Plan Transfer - customer wants to transfer a specific cash amount or specific investments. Enter the dollar amount **or** quantity of shares/units and the name of the security to be transferred.

Then tick the appropriate box to indicate if the transfer is:

In-Cash (meaning to sell all eligible securities & send the proceeds as "**Cash**").

**Or**

In-Kind (meaning to transfer the existing cash balance and eligible securities as they are).

---

### Section C - Transfer To

Completion of this section is **mandatory** regardless of the type of transfer.

Indicate by ticking the appropriate box **where** the transfer is to go and insert the Plan # and if applicable the DISA RSP #.

---

### Section D

Self explanatory. Ensure all information / authorizations requested are provided.

---

### Forward the Transfer Documents to the Applicable Area:

**TD Waterhouse Canada Inc.**

**TD Investment Services Inc. (TD Mutual Funds)**  
3500 Steeles Avenue East  
Tower 2, 2nd Floor  
Markham, ON L3R 0X1

**TD Canada Trust RIO**

3500 Steeles Avenue East  
Tower 2, 2nd Floor  
Markham, ON L3R 0X1

**TD Future Builder Service & Quality**

895 Don Mills Road  
One Morneau Sobeco Centre, Suite 700  
Toronto, ON M3C 1W3  
**Fax#: 1-855-280-3431**